Further pure mathematics

## Rings and polynomials

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#### Abstract

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The Open University, Walton Hall, Milton Keynes, MK7 6AA.
First published 2014. Second edition 2016.
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Edited, designed and typeset by The Open University, using the Open University $\mathrm{T}_{\mathrm{E}} \mathrm{X}$ System.
Printed in the United Kingdom by Halstan \& Co. Ltd, Amersham, Bucks.

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## 1 Rings

In Book B we learnt about groups, which are defined as a set of elements equipped with some operation $\circ$, and satisfying certain axioms given in Definition 1.1 of Book B, Chapter 5. When we think of the set of integers, however, there are two basic operations that we can use: addition, + , and multiplication, $\cdot$. We learnt in Chapter 5 that $(\mathbb{Z},+)$ is an abelian group, but we can quickly verify that $(\mathbb{Z}, \cdot)$ is not: no integers apart from 1 and -1 have multiplicative inverses and so axiom G3 of Definition 1.1 does not hold.
We may ask which of the group axioms do hold for ( $\mathbb{Z}, \cdot)$. If $m, n \in \mathbb{Z}$, then $m \cdot n \in \mathbb{Z}$ and so we have closure (axiom G1). Next, since $1 \cdot m=m \cdot 1=m$, the element $1 \in \mathbb{Z}$ acts as the identity to confirm axiom G2. Finally, associativity of multiplication is a basic property of the integers and so G4 holds. The integers form a model for our definition of a ring.

What about subtraction and division? In a sense, we can think of these as the inverses to addition and multiplication.

The old German word Ring can mean 'association'; hence the terms 'ring' and 'group' have similar origins.

## Definition 1.1 Ring axioms

Let $R$ be a set and let + and $\cdot$ be binary operations defined on $R$. Then $(R,+, \cdot)$ is a ring if the following axioms hold.

## Axioms for addition:

R1 Closure For all $a, b \in R$,

$$
a+b \in R
$$

R2 Associativity For all $a, b, c \in R$,

$$
a+(b+c)=(a+b)+c
$$

R3 Additive identity There exists an additive identity $0 \in R$ such that, for all $a \in R$,

$$
a+0=a=0+a
$$

R4 Additive inverses For each $a \in R$, there exists an additive inverse $-a \in R$ such that

$$
a+(-a)=0=(-a)+a
$$

R5 Commutativity For all $a, b \in R$,

$$
a+b=b+a
$$

## Axioms for multiplication:

R6 Closure For all $a, b \in R$,

$$
a \cdot b \in R
$$

R7 Associativity For all $a, b, c \in R$,

$$
a \cdot(b \cdot c)=(a \cdot b) \cdot c
$$

R8 Multiplicative identity There exists a multiplicative identity $1 \in R$ such that, for all $a \in R$,

$$
a \cdot 1=a=1 \cdot a
$$

## Axioms combining addition and multiplication:

R9 Distributive laws For all $a, b, c \in R$, multiplication is left distributive over addition in $R$ :

$$
a \cdot(b+c)=(a \cdot b)+(a \cdot c)
$$

and multiplication is right distributive over addition in $R$ :

$$
(a+b) \cdot c=(a \cdot c)+(b \cdot c)
$$

Furthermore, $(R,+, \cdot)$ is a commutative ring if the following extra axiom holds.
R10 Commutativity For all $a, b \in R$,

$$
a \cdot b=b \cdot a
$$

At first sight, this looks like a lot of individual axioms to satisfy; so let us look at them collectively. First off, note that the axioms for addition (R1 to R 5 ) are exactly the axioms required for $(R,+)$ to be an abelian group. As a result, we can use the 'Elementary consequences' (see, for example, Proposition 1.2 of Book B, Chapter 5) to deduce the following.

## Lemma 1.2

Let $(R,+, \cdot)$ be a ring. Then the additive identity $0 \in R$ is unique. Furthermore, for every $a \in R$ the additive inverse $(-a)$ is unique.

Next, R6 to R8 tell us some rules about multiplication, but note that it is not the case that $(R, \cdot)$ is a group, because we are missing the axiom that guarantees the existence of multiplicative inverses. Finally, R9 shows us how to combine these two operations, in exactly the way we expect when multiplying and adding integers.
Early definitions of a ring did not include the multiplicative identity axiom, and this approach persists in some texts. In this case, the ring we have defined above is called a ring with 1 , to distinguish it from a 'ring without 1'. However, all our rings will have a multiplicative identity, and so we can use the term 'ring' unambiguously.

Most of the rings in this module will also be commutative (that is, axiom R10 holds), but as we are about to see an example of a non-commutative ring, we will not for the moment assume this axiom.

## Example 1.3 Some familiar rings

(a) $(\mathbb{Z},+, \cdot)$, the set of integers $\mathbb{Z}$, with normal addition and multiplication, is a commutative ring.

To see this, first note that $(\mathbb{Z},+)$ forms an infinite abelian group, with additive identity 0 . This, therefore, immediately covers axioms R1 to R5. Similarly, R6 to R8 follow since multiplication over the integers is closed, associative, and the multiplicative identity is 1 . Of course, multiplication over the integers is commutative, and so we can also verify axiom R10. This leaves only axiom R9, which follows as distributivity is a basic property of the integers.
(b) $(\mathbb{Q},+, \cdot)$, the set of rational numbers, with normal addition and multiplication, forms a commutative ring. Similarly, we can readily check that the set of real numbers, $\mathbb{R}$, and the set of complex numbers, $\mathbb{C}$, are also both commutative rings under the same operations.
(c) $\left(M_{2 \times 2},+, \cdot\right)$, the set of $2 \times 2$ matrices over $\mathbb{R}$, with matrix addition and multiplication, is a ring but not a commutative ring.
Checking some of the axioms for this example takes a little more thought. For addition, closure follows since the sum of two $2 \times 2$ matrices is another $2 \times 2$ matrix. It can easily be checked that the addition of matrices is associative and commutative. The additive

This was our original 'template' to create the abstract definition of a ring. So it is appropriate that it is the first example we encounter.

## Rings and polynomials

identity is the zero matrix $\left(\begin{array}{ll}0 & 0 \\ 0 & 0\end{array}\right)$ and consequently the additive inverse of the matrix $\left(\begin{array}{ll}a & b \\ c & d\end{array}\right)$ is $\left(\begin{array}{ll}-a & -b \\ -c & -d\end{array}\right)$.
For multiplication, when we multiply two $2 \times 2$ matrices together we obtain another $2 \times 2$ matrix, and so closure (R6) is satisfied. It is straightforward (but not immediately obvious) to check that multiplication is associative, and we can check that the identity matrix $\left(\begin{array}{ll}1 & 0 \\ 0 & 1\end{array}\right)$ acts as the multiplicative identity for axiom R8.
Finally, axiom R9 can be readily verified, but it is well known that multiplication of matrices is not commutative and so R10 does not hold. For example:

$$
\left(\begin{array}{ll}
1 & 1 \\
1 & 0
\end{array}\right) \cdot\left(\begin{array}{ll}
0 & 1 \\
1 & 1
\end{array}\right)=\left(\begin{array}{ll}
1 & 2 \\
0 & 1
\end{array}\right), \text { but }\left(\begin{array}{ll}
0 & 1 \\
1 & 1
\end{array}\right) \cdot\left(\begin{array}{ll}
1 & 1 \\
1 & 0
\end{array}\right)=\left(\begin{array}{ll}
1 & 0 \\
2 & 1
\end{array}\right) .
$$

## Exercise 1.1

The ring $\mathbb{Z} \times \mathbb{Z}$ consists of all ordered pairs of integers ( $a, b$ ), with addition and multiplication defined by $(a, b)+(c, d)=(a+c, b+d)$ and $(a, b) \cdot(c, d)=(a c, b d)$. Write down:
(a) $(2,1)+(4,5)$ and $(2,1) \cdot(4,5)$
(b) the additive identity element
(c) the additive inverse of the element $(2,1)$
(d) the multiplicative identity element.

## Exercise 1.2

Show that the following are commutative rings.
(a) The set of integers $\mathbb{Z}_{n}=\{0,1,2, \ldots, n-1\}$, equipped with addition and multiplication modulo $n$.
(b) The set

$$
\mathbb{Z}[\sqrt{2}]=\{a+b \sqrt{2}: a, b \in \mathbb{Z}\}
$$

equipped with the usual addition and multiplication of real numbers.

You may be wondering how we justify statements such as 'multiplication of integers is associative'. The properties of integers that you have known (not necessarily by name) and used since you first started doing arithmetic can be justified only by working with a formal definition of the integers. A well-known definition of the integers starts from a set of axioms known as 'Peano axioms', after Giuseppe Peano (1858-1932). These are then extended to give 'Peano arithmetic'. These axioms include the properties
such as associativity, commutativity and distributivity that we have been discussing, but in this module you are not expected to be familiar with these axioms; the approach we have used so far to justify such statements is sufficient.

In light of the examples above, you may now be wondering what objects do not form rings; so let us look at a couple before we proceed.

## Example 1.4 Two examples of non-rings

(a) The set of non-negative integers, $\mathbb{Z}^{+}=\{0,1,2, \ldots\}$, with the usual addition and multiplication.

We find that R1 and R2 are true, but then we cannot find additive inverses to satisfy R3: for example, we need -1 to be the additive inverse of 1 , but it is not in the set $\mathbb{Z}^{+}$.
(b) The even integers, $2 \mathbb{Z}=\{\ldots,-4,-2,0,2,4, \ldots\}$, with the usual addition and multiplication.

In this example, we find that this set does actually form an abelian group under addition. The problem comes when we try to find a multiplicative identity for axiom R8: there isn't one! If there was a multiplicative identity, $2 k \in 2 \mathbb{Z}$, say, then $(2 k) \cdot(2 n)=2 n$ for all $n \in \mathbb{Z}$. This, however, implies that $2 k=1$, and so $k \notin \mathbb{Z}$, which is a contradiction.

Having seen some examples and non-examples, we are ready to prove some simple properties that we should expect to find. Throughout these, you should be thinking how this is exactly what we expect in the examples of rings that we have just seen. Are the properties also true for each of our two non-examples, and if they aren't, why not?

## Proposition 1.5 Basic properties of rings

Let $(R,+, \cdot)$ be a ring. Then:
(a) $0 \cdot a=a \cdot 0=0$ for all $a \in R$
(b) $-(-a)=a$ for all $a \in R$
(c) $a \cdot(-b)=(-a) \cdot b=-(a \cdot b)$ for all $a, b \in R$
(d) $(-a) \cdot(-b)=a \cdot b$ for all $a, b \in R$.

## Rings and polynomials

Proving statements like these is actually quite tricky: we need to be careful that at each stage we use only the axioms that define a ring, or results that we have derived from these axioms.

Proof We will prove parts (a)-(c), and leave part (d) as an exercise for you to do.
(a) Let $a \in R$, and set $b=0 \cdot a$. We want to show that $b$ is the additive identity. First, we know that $0=0+0$, and so $0 \cdot a=(0+0) \cdot a$ $=0 \cdot a+0 \cdot a$, using axiom R9. Since we set $b=0 \cdot a$, we have $b=b+b$. Therefore, using associativity:

$$
0=b+(-b)=(b+b)+(-b)=b+(b+(-b))=b+0=b
$$

A similar argument can be used to prove that $a \cdot 0=0$.
(b) First, for any $a \in R$ we have $(-a)+a=0$. Now, the element $(-a)$ of $R$ has a unique additive inverse (by Lemma 1.2), namely $-(-a)$, from which it follows that $a=-(-a)$.
(c) We have $a \cdot b+(-a) \cdot b=(a+(-a)) \cdot b=0 \cdot b=0$, the last step following by part (a) of the proposition. Therefore, $(-a) \cdot b$ must be the additive inverse of $a \cdot b$, and so we have $(-a) \cdot b=-(a \cdot b)$. Similarly, we can show that $-(a \cdot b)=a \cdot(-b)$.

Having established these basic properties, we will relax our notation a little. We have so far used the minus sign '-' to denote the additive inverse of an element: $a+(-a)=0$. When working with the integers, we are familiar with using the minus sign as a binary operation, that is, we write expressions such as ' $a-b$ '. We will extend this notation here.
Formally, in any ring $R$ with $a, b \in R$, we define $a-b$ to mean the result of adding the additive inverse of $b$ to $a$. Put simply, $a-b=a+(-b)$.

When the context is clear, we will use two further notational simplifications, similar to those made at the start of Book B. First, we will write the juxtaposition $a b$ to mean the product $a \cdot b$. Second, we will often refer to $(R,+, \cdot)$ by the set symbol $R$ when it is clear which operations are being used.

## Exercise 1.3

Prove part (d) of Proposition 1.5.

## Exercise 1.4

What can you say about a ring in which the additive and multiplicative identities are the same, that is, a ring where $0=1$ ?

### 1.1 Subrings

In Exercise 1.2, we showed that the sets $\mathbb{Z}_{n}$ and $\mathbb{Z}[\sqrt{2}]$ were rings. In the solution, we appealed to properties of addition and multiplication of a larger set to prove some of the axioms. For example, $\mathbb{Z}[\sqrt{2}]$ is a subset of the ring $\mathbb{R}$, and hence, since we are using the same definition of addition and multiplication for both, some of the axioms hold immediately. In this subsection, we want to formalise this approach.

## Definition 1.6 Subring

Let $R$ be a ring. We say that $S$ is a subring of $R$ if:
(a) the set $S$ is a subset of $R$
(b) $S$ is a ring when equipped with the same binary operations as $R$
(c) the ring $S$ has the same multiplicative identity as $R$.

Why do we insist that $S$ has the same multiplicative identity? As we will see shortly in Worked Exercise 1.9(b), it is possible for a subset of a ring to be a ring itself, but with a different multiplicative identity. We want to be able to distinguish between this case and the definition given above.
Why, then, did we not also insist that $S$ should have the same additive identity as $R$ ? We can deduce this from the definition given above.

## Lemma 1.7

Let $R$ be a ring, and $S$ a subring of $R$. Then the additive identity of $S$ is the same as the additive identity of $R$.

Proof Suppose $0_{S}$ is the additive identity in $S$, and $0_{R}$ that for $R$. Then for any $s \in S$, we have $s+0_{S}=s$ as an equation in $S$, and so also as an equation in $R$. Working in $R$, we now have:

$$
\begin{aligned}
0_{R} & =(-s)+s \\
& =(-s)+\left(s+0_{S}\right) \\
& =((-s)+s)+0_{S}=0_{R}+0_{S} \\
& =0_{S}
\end{aligned}
$$

Some obvious examples are the integers $\mathbb{Z}$ as a subring of the rationals $\mathbb{Q}$, and the rationals as a subring of the reals $\mathbb{R}$, and the reals as a subring of the complex numbers $\mathbb{C}$. We saw in Example 1.3 that all of $\mathbb{Z}, \mathbb{Q}, \mathbb{R}$ and $\mathbb{C}$ are rings, and for each the additive identity is 0 and the multiplicative identity is 1 .

Now, as we wanted, we can state and prove a lemma justifying why we do not need to check all the axioms R1-R9 when verifying that a subset of a ring is a subring.

## Rings and polynomials

Axiom SR1 can be thought of as 'closure under subtraction'.

## Lemma 1.8 Subring criterion

Let $R$ be a ring and let $S$ be a subset of $R$. Then $S$ is a subring of $R$ if:

SR1 for all $s, t \in S, s-t \in S$
SR2 for all $s, t \in S$, st $\in S$
SR3 the multiplicative identity of $R$ is in $S$.

Proof Since $S$ is a subset of $R$, we just need to check that $S$ is a ring with the same binary operations and multiplicative identity as $R$.

Let $s \in S$. (Note that $S$ is non-empty by SR 3 ; so such an $s$ exists.) By SR1, $s-s=0 \in S$ and so $0-s=(-s) \in S$. In addition, if $t \in S$ then $(-t) \in S$, so that $s+t=s-(-t) \in S$. This shows that $(S,+)$ is an abelian subgroup of $(R,+)$.

Multiplication is closed in $S$ by SR2, and axiom SR3 ensures that the multiplicative identity of $R$ is in $S$, and in fact it must be a multiplicative identity for $S$. The associative and distributive laws hold since they hold in $R$. Thus we have shown that $S$ is a ring, and since the multiplicative identity coincides, it follows immediately that $S$ is a subring of $R$.

## Worked Exercise 1.9

(a) Show that the set of Gaussian integers, $\mathbb{Z}[i]=\{a+i b: a, b \in \mathbb{Z}\}$ where $i=\sqrt{-1}$, equipped with the usual addition and multiplication of complex numbers, is a ring.
(b) Why is $S=\{0,5\}$ not a subring of $\mathbb{Z}_{10}$ ?

## Solution

(a) Since $\mathbb{Z}[i]$ is a subset of the complex numbers $\mathbb{C}$, it will be enough to prove that $\mathbb{Z}[i]$ is a subring of $\mathbb{C}$. We check the conditions of Lemma 1.8. Consider two elements $a+i b$ and $c+i d$ of $\mathbb{Z}[i]$. Then

SR1: $\quad(a+i b)-(c+i d)=(a-c)+i(b-d) \in \mathbb{Z}[i]$.
SR2: $\quad(a+i b) \cdot(c+i d)=(a c-b d)+i(a d+b c) \in \mathbb{Z}[i]$.
SR3: The multiplicative identity of $\mathbb{C}$ and $\mathbb{Z}[i]$ is $1=1+0 i$.
It follows from the subring criterion that $\mathbb{Z}[i]$ is a subring of $\mathbb{C}$, and therefore that $\mathbb{Z}[i]$ is a ring.
(b) Condition SR3 does not hold for $S$ : the multiplicative identity of $\mathbb{Z}_{10}$ is 1 , which is not in $S$.
Note, however, that $S$ is a ring with the same operations as $\mathbb{Z}_{10}$. The multiplicative identity of $S$ is 5 .

The requirement that the multiplicative identity of a subring is always the same as that of the original ring has some interesting consequences. For example, the only subring of $\mathbb{Z}$ is $\mathbb{Z}$ itself, and the only subring of $\mathbb{Z}_{n}$ is $\mathbb{Z}_{n}$.

## Exercise 1.5

By considering a suitable larger ring and using Lemma 1.8, prove that the following are rings:
(a) $\mathbb{Z}[\omega]=\left\{a+b \omega+c \omega^{2}: a, b, c \in \mathbb{Z}\right\}$ where $\omega=e^{2 i \pi / 3}$, so that $\omega^{3}=1$
(b) $\mathbb{Q}[i]=\{a+b i: a, b \in \mathbb{Q}\}$.

### 1.2 Zero divisors and units

The axioms that define a ring leave some opportunities for results that we might not expect. By considering the integers $\mathbb{Z}$, we already know that elements do not need to have multiplicative inverses, but in some rings even stranger things can happen.

Suppose that in $\mathbb{Z}$ we wish to solve the quadratic equation

$$
x^{2}-5 x+6=0 .
$$

Factorising the left-hand side, we obtain

$$
(x-2)(x-3)=0 .
$$

It follows that either $x-2=0$ or $x-3=0$, and hence that $x=2$ or $x=3$. This method works since if in $\mathbb{Z}$ the product of two terms is 0 , then one of the two terms must itself be 0 .
More generally, if we know that the product of two integers $a$ and $b$ is 0 , then we can deduce that at least one of $a$ or $b$ must be 0 . Put another way, if we multiply two non-zero integers then their product must also be non-zero.
However, in the ring $\mathbb{Z}_{6}$ we have $2 \cdot 3 \equiv 0(\bmod 6)$. In other words, there are two non-zero elements whose product is zero. We want to be able to distinguish between elements where this does happen and those where it does not, and so we make the following definition.

## Definition 1.10 Zero divisor

Let $R$ be a ring. A non-zero element $a \in R$ is a zero divisor in $R$ if there is a non-zero element $b \in R$ for which $a b=0$ or $b a=0$.

In a sense, 0 is also a zero divisor since $0 \cdot 1=0$ is true in every ring, but we have deliberately excluded this so that in future we do not have to keep using phrases such as 'no non-zero zero divisors'. Commutative rings with no zero divisors have a special name. Since they have several of the properties of the ring of integers, we call them integral domains.

We will learn more about integral domains in Chapter 12.

## Rings and polynomials

The answer to this question is 'yes' for some quite peculiar rings, but we will not explore this here.

## Example 1.11 Rings with no zero divisors

(a) The ring $\mathbb{Z}$ has no zero divisors - this is the fact that we used to solve the quadratic equation above. Similarly, $\mathbb{Q}, \mathbb{R}$ and $\mathbb{C}$ also have no zero divisors.
(b) $\mathbb{Z}_{7}$ has no zero divisors. If $a b \equiv 0(\bmod 7)$, then 7 divides $a b$, and hence (since 7 is prime) divides $a$ or $b$ (or both) in $\mathbb{Z}_{7}$. Thus $a=0$ or $b=0$.

## Example 1.12 Rings with zero divisors

(a) $\mathbb{Z}_{6}$, as we saw above, does have zero divisors. Since $2 \cdot 3 \equiv 0(\bmod 6)$ and $3 \cdot 4 \equiv 0(\bmod 6)$, we see that all of 2,3 and 4 are zero divisors.

However, 1 and 5 are not zero divisors since there are no numbers $a$ and $b$ (other than 0$)$ in $\mathbb{Z}_{6}$ for which $1 \cdot a \equiv 0(\bmod 6)$ or $5 \cdot b \equiv 0(\bmod 6)$.
(b) The ring $M_{2 \times 2}$ of $2 \times 2$ matrices with entries from $\mathbb{R}$ has zero divisors. For example, the product

$$
\left(\begin{array}{ll}
1 & 0 \\
0 & 0
\end{array}\right) \cdot\left(\begin{array}{ll}
0 & 0 \\
0 & 1
\end{array}\right)=\left(\begin{array}{ll}
0 & 0 \\
0 & 0
\end{array}\right)
$$

shows that both $\left(\begin{array}{ll}1 & 0 \\ 0 & 0\end{array}\right)$ and $\left(\begin{array}{ll}0 & 0 \\ 0 & 1\end{array}\right)$ are zero divisors.

## Exercise 1.6

Let $R$ be the ring $\mathbb{Z} \times \mathbb{Z}$ (as defined in Exercise 1.1). For $a, b, c, d \in \mathbb{Z}$, addition is defined by $(a, b)+(c, d)=(a+c, b+d)$, and multiplication is given by $(a, b) \cdot(c, d)=(a c, b d)$.
(a) Give an example of a zero divisor in $R$.
(b) Describe all the zero divisors in $R$.

Now that we are aware of the possibility that rings have zero divisors, we turn again to the issue of multiplicative inverses: which elements of a ring can be multiplied together to give 1 ? For example, in $\mathbb{Z}$, we have $(-1) \cdot(-1)=1$, and so -1 is its own multiplicative inverse, but there is no multiplicative inverse of 2 in $\mathbb{Z}$.

So far, we have allowed our rings to be non-commutative, that is, axiom R10 has not needed to be satisfied. Our main example of a non-commutative ring has been $M_{2 \times 2}$, the ring of $2 \times 2$ matrices with entries from $\mathbb{R}$. As we move our focus to consider elements that have multiplicative inverses, the existence of non-commutative rings leads to some awkward possibilities. For example, could we have a non-commutative ring $R$ and $a, b \in R$, with $a \cdot b=1$, but $b \cdot a \neq 1$ ?

## Convention: commutative rings

To avoid the complications described above, all rings we will consider from now on will be commutative. Additionally, we will drop the word 'commutative' and simply use the term 'ring'.

With this settled, we can define a term for elements that have multiplicative inverses.

## Definition 1.13 Unit

An element $a$ of a (commutative) ring $R$ is a unit if there is an element $a^{-1} \in R$ for which $a \cdot a^{-1}=a^{-1} \cdot a=1$.

For example, in the ring $\mathbb{Z}$ the only units are 1 and -1 ; in the ring $\mathbb{Z}_{10}$ the units are $1,3,7$ and 9 , since

$$
1 \times 1 \equiv 1(\bmod 10), 3 \times 7 \equiv 1(\bmod 10) \text { and } 9 \times 9 \equiv 1(\bmod 10) .
$$

## Exercise 1.7

List all the units in the following rings.
(a) $\mathbb{Z}_{12}$
(b) $\mathbb{Z} \times \mathbb{Z}$
(c) $\mathbb{Z}[i]$

Although in the above exercise it was relatively straightforward to list the units in specific rings, this is not always the case. We will see examples in Chapter 12 where finding units is rather more difficult.

## Exercise 1.8

(a) Write down all the zero divisors in the rings $\mathbb{Z}_{n}$, for $n=8,9,10,11$ and 12.
(b) Give a description of all the zero divisors in $\mathbb{Z}_{n}$, for any given natural number $n$.
(c) Which rings $\mathbb{Z}_{n}$ have no zero divisors?
(d) Show that in a ring $R$, if $a$ is a unit then it cannot be a zero divisor.

The term 'unit' is overused in mathematics generally. It should be clear from the context which meaning is appropriate.

## Rings and polynomials

Recall Exercise 1.4, which tells us what happens when $0=1$ in a ring.

### 1.3 Fields

In the definition of a ring, the axiom we were missing for the non-zero elements to form a group under multiplication was that every element has an inverse: in other words, every non-zero element is a unit. A ring with this property is called a field.

## Definition 1.14 Field

A (commutative) ring $R$ is a field if the additive and multiplicative identities are distinct, and every non-zero element is a unit.

In other words, $R$ has distinct elements 0 and 1 , axioms R1-R10 hold, and so does:

R11 Multiplicative inverses For every non-zero $a \in R$, there exists $a^{-1} \in R$ such that $a \cdot a^{-1}=a^{-1} \cdot a=1$.

In the same way that we have been using the symbol $R$ to refer to a general ring, we will use $F$ for a field. You may find that in other texts the letter $K$ is used: this is because the German mathematician Richard Dedekind (1831-1916) used the term Körper for field, which translates as 'body'.

## Example 1.15 Some well-known fields

The following are easily seen to be fields.
(a) $\mathbb{Q}$ : Since $\frac{p}{q} \cdot \frac{q}{p}=1$ for non-zero $p, q \in \mathbb{Z}$, the inverse of $\frac{p}{q}$ is $\frac{q}{p}$.
(b) $\mathbb{R}$ : The inverse of any non-zero $x \in \mathbb{R}$ is $x^{-1}=\frac{1}{x}$.
(c) $\mathbb{C}$ : The inverse of $z=a+i b \neq 0$ is

$$
z^{-1}=\frac{1}{a+i b}=\left(\frac{a}{a^{2}+b^{2}}\right)+i\left(\frac{-b}{a^{2}+b^{2}}\right)
$$

## Example 1.16 Some rings that are not fields

(a) $\mathbb{Z}$ is not a field: as we observed in the previous subsection, the only units are -1 and 1 , and so no other integer has a multiplicative inverse.
(b) $\mathbb{Z}_{6}$ is not a field: for example, the element 2 is not a unit.

Since $\mathbb{Z}_{6}$ has sufficiently few elements, we can verify this by testing all multiples of 2 modulo 6 :

$$
\begin{array}{ll}
2 \cdot 0 \equiv 0, & 2 \cdot 1 \equiv 2,
\end{array} \quad 2 \cdot 2 \equiv 4, ~ 子 2 \cdot 5 \equiv 4 .
$$

## Exercise 1.9 Properties of multiplicative inverses

Let $F$ be a field, and $a, b \in F$ non-zero elements. Prove the following.
(a) $\left(a^{-1}\right)^{-1}=a$
(b) $(a b)^{-1}=b^{-1} a^{-1}$

The second example of a non-field, $\mathbb{Z}_{6}$, raises an interesting point: we used the element 2 , which is a zero divisor, since $2 \cdot 3 \equiv 0(\bmod 6)$. In Exercise $1.8(\mathrm{~d})$, we showed that any element that is a unit cannot also be a zero divisor. As a consequence of this, we have the following lemma.

## Lemma 1.17

Let $F$ be a field. Then $F$ has no zero divisors.

Proof Suppose $a \neq 0$ is a zero divisor in $F$, and so there exists a non-zero $b \in F$ such that $a \cdot b=0$. By axiom R11, there exists $b^{-1} \in F$ such that $b \cdot b^{-1}=1$. Now

$$
a=a \cdot 1=a \cdot\left(b \cdot b^{-1}\right)=(a \cdot b) \cdot b^{-1}=0 \cdot b^{-1}=0
$$

which is a contradiction.

In Exercise 1.8, we stated that $\mathbb{Z}_{n}$ has no zero divisors if, and only if, $n$ is a prime number. In fact, each element of $\mathbb{Z}_{n}$ is either a zero divisor or a unit.

## Lemma 1.18

Let $a \in \mathbb{Z}_{n}$ be non-zero, where $n \in \mathbb{N}$. Then $a$ is either a zero divisor or a unit.

Proof If $\operatorname{hcf}(a, n)=1$, then by Proposition 4.7 of Book A, Chapter 1 there exist $s, t \in \mathbb{Z}$ such that $s a+t n=1$. Therefore, $s a \equiv 1(\bmod n)$, and hence $a$ is a unit in $\mathbb{Z}_{n}$.
On the other hand, if $\operatorname{hcf}(a, n)=d>1$, then $a=d h$ and $n=d k$ for some $h, k$, with $1<k<n$ and $\operatorname{hcf}(h, k)=1$. Then

$$
a k=(d h) k=(h d) k=h(d k)=h n \equiv 0(\bmod n)
$$

which proves that $a$ is a zero divisor.

This lemma immediately gives us an important consequence.

## Corollary 1.19

The ring $\mathbb{Z}_{n}$ is a field if, and only if, $n$ is a prime number.

For any non-zero element $a$, one of two things can happen: either $\operatorname{hcf}(a, n)=1$, or $\operatorname{hcf}(a, n)>1$. We consider each of these possibilities in turn.

The fields $\mathbb{Z}_{p}$, where $p$ is a prime number, contain only finitely many elements, and are therefore called finite fields. We will not explore finite fields further in this chapter, as we will study them in some detail in Book E, Chapter 19. However, we will make two quick remarks.

First, the fields $\mathbb{Z}_{p}$, for $p$ prime, are not the only finite fields: in fact, there exists a finite field with $q$ elements if, and only if, $q$ is a power of a prime number.

Second, if we repeatedly add the multiplicative identity to itself, $1+1+\cdots+1$, we will at some point end up with the additive identity. For example, in $\mathbb{Z}_{3}, 1+1+1=3 \equiv 0(\bmod 3)$. The number of times we add 1 to itself to get 0 is known as the characteristic. So, the characteristic of $\mathbb{Z}_{3}$ is 3 .

We finish this section by defining subfields, and developing an analogue to the subring criterion (Lemma 1.8) that allows us to avoid checking all the axioms.

## Definition 1.20 Subfield

A subset $S$ of a field $F$ that is a field with the same binary operations and multiplicative identity as $F$ is known as a subfield of $F$.

## Lemma 1.21 Subfield criterion

Let $F$ be a field, and let $S$ be any subset of $F$. Then $S$ is a subfield of $F$ if:
(a) $S$ is a subring of $F$
(b) every non-zero element of $S$ has a multiplicative inverse in $S$.

Consequently, to determine whether $S$ is a subfield of $F$ or not, we need to check only axioms SR1-SR3 from Lemma 1.8 and axiom R11 from Definition 1.14.

Proof Since $S$ is a subset of $F$, we just need to check that $S$ is a field with the same binary operations and identities as $F$. First, condition (a) tells us that $S$ has the same multiplicative identity as $F$, and that all the axioms R1-R10 hold. This leaves only axiom R11 about the existence of multiplicative inverses, but this is guaranteed by condition (b).

## Exercise 1.10

By considering suitable larger fields, show that the following are fields.
(a) $\mathbb{Q}[i]=\{a+b i: a, b \in \mathbb{Q}\}$
(b) $\mathbb{Q}[\sqrt{2}]=\{a+b \sqrt{2}: a, b \in \mathbb{Q}\}$

## 2 Polynomials over fields

As we saw in Subsection 1.2, rings can have unexpected properties. To avoid some of these issues, we are going to spend the remainder of this chapter studying polynomial rings where the coefficients of the polynomials come from a field, as these are generally much better behaved than generic rings.

### 2.1 Polynomial rings

## Definition 2.1 Polynomial ring over a field

Let $F$ be a field. Then a polynomial over $F$ with the variable $x$ is a polynomial of the form $f(x)=a_{0}+a_{1} x+a_{2} x^{2}+\cdots+a_{n} x^{n}$, where $a_{0}, a_{1}, a_{2}, \ldots, a_{n} \in F$ and $n \geq 0$, where $x^{0}$ is defined to be 1 .

The polynomial ring over $F$ is

$$
F[x]=\left\{a_{0}+a_{1} x+\cdots+a_{n} x^{n}: a_{0}, a_{1}, \ldots, a_{n} \in F, n \geq 0\right\}
$$

We have seen the square bracket notation ' $F[x]$ ' a few times already in this chapter, and it is worth verifying that our use of it has been consistent. For example, in Exercise $1.10(\mathrm{~b})$ we encountered $\mathbb{Q}[\sqrt{2}]$, which was defined to be the set $\{a+b \sqrt{2}: a, b \in \mathbb{Q}\}$. The above definition would define it as $\left\{a_{0}+a_{1} \sqrt{2}+\cdots+a_{n}(\sqrt{2})^{n}: a_{i} \in \mathbb{Q}\right\}$, but of course $(\sqrt{2})^{2}=2$ is already an element of $\mathbb{Q}$, and so the higher terms of $\sqrt{2}$ can simply be included in the coefficients $a_{0}$ and $a_{1}$.
To be clear that $F[x]$ is indeed a ring, we need to define 'addition' and 'multiplication' within $F[x]$, and then be sure that all the axioms hold. How we add and multiply polynomials should come as no surprise: first, we need to remember that the field $F$ came with its own addition and multiplication, and use these to build the natural definitions. If in doubt, think about how it is done in $\mathbb{R}[x]$, that is, when the coefficients are all real numbers.

Addition is defined by

$$
\left(\sum_{i=0}^{n} a_{i} x^{i}\right)+\left(\sum_{i=0}^{m} b_{i} x^{i}\right)=\sum_{i=0}^{\max (m, n)}\left(a_{i}+b_{i}\right) x^{i}
$$

Note that the sum on the right-hand side of this equation goes up to $\max (m, n)$ : so, if $n<m$ then we add 'dummy' coefficients
$a_{n+1}=a_{n+2}=\cdots=a_{m}=0$.
What is the zero of $F[x]$ ? If ' 0 ' is the symbol for the zero in the field $F$, then let $0_{x}$ be the zero in $F[x]$. It is defined as the polynomial where every coefficient is equal to 0 ; so in fact we have $0_{x}=0$. However, we will continue to use the symbol $0_{x}$ to highlight when we are working in the polynomial ring $F[x]$.

The polynomial ring $\mathbb{Q}[x]$ will appear in Section ??.

We can now work out additive inverses:

$$
\left(\sum_{i=0}^{n} a_{i} x^{i}\right)+\left(\sum_{i=0}^{n}\left(-a_{i}\right) x^{i}\right)=\sum_{i=0}^{n}\left(a_{i}+\left(-a_{i}\right)\right) x^{i}=0_{x} .
$$

The closure, associativity and commutativity of addition in $F[x]$ follow quite easily: remember that we can assume they hold in $F$.
Next, we define multiplication:

$$
\left(\sum_{i=0}^{n} a_{i} x^{i}\right) \cdot\left(\sum_{j=0}^{m} b_{j} x^{j}\right)=\sum_{k=0}^{m+n}\left(\sum_{i+j=k} a_{i} \cdot b_{j}\right) x^{k} .
$$

At first sight, this definition looks rather offputting. So, let us quickly consider an example to reassure ourselves that this is exactly what we expect to see. Take polynomials $f(x)=1+5 x-4 x^{2}$ and $g(x)=2+3 x$ over $\mathbb{R}$. Then

$$
\begin{aligned}
f(x) g(x) & =\left(1+5 x-4 x^{2}\right) \cdot(2+3 x) \\
& =(1 \cdot 2)+(1 \cdot 3+5 \cdot 2) x+(5 \cdot 3+(-4) \cdot 2) x^{2}+((-4) \cdot 3) x^{3} \\
& =2+13 x+7 x^{2}-12 x^{3} .
\end{aligned}
$$

What is the multiplicative identity of $F[x]$ ? As we did with the zero, we will use the special symbol $1_{x}$ to distinguish it from the ' 1 ' in $F$, even though $1_{x}=1$.

The remaining axioms $\mathrm{R} 6-\mathrm{R} 9$ are readily verified, as a consequence of the axioms for the field $F$. In addition, since multiplication in $F$ is commutative, it follows that $F[x]$ is a commutative ring, that is, axiom R10 holds.

## Example 2.2 Some common polynomial rings

(a) The set of polynomials with rational coefficients, $\mathbb{Q}[x]$, with $0_{x}=0$ and $1_{x}=1$, is a ring since $\mathbb{Q}$ is a field.
(b) $\mathbb{C}[x]$ represents the polynomials with complex coefficients, and $\mathbb{R}[x]$ the polynomials with real coefficients. Note that $\mathbb{Q}[x] \subset \mathbb{R}[x] \subset \mathbb{C}[x]$.
(c) The polynomial ring $\mathbb{Z}_{2}[x]$ consists of all polynomials whose coefficients are all either 0 or 1 . More generally, we can consider the polynomial ring $\mathbb{Z}_{p}[x]$ where $p$ is a prime number.

## Worked Exercise 2.3

Let $f(x)=1+2 x$ and $g(x)=1+3 x+2 x^{3}$ be polynomials in $\mathbb{Z}_{5}[x]$, the ring of polynomials over the field $\mathbb{Z}_{5}$, equipped with addition and multiplication modulo 5 .
(a) Calculate and simplify (i) $f(x)+g(x)$, and (ii) $3 f(x)-g(x)$.
(b) Compute $f(x) g(x)$.

## Solution

(a) (i) $f(x)+g(x)=(1+1)+(2+3) x+2 x^{3} \equiv 2+2 x^{3}(\bmod 5)$
(ii) $\quad 3 f(x)-g(x)=3(1+2 x)-\left(1+3 x+2 x^{3}\right)$

$$
\begin{aligned}
& =(3+(3 \times 2) x)-1-3 x-2 x^{3} \\
& \equiv(3+x)+4+2 x+3 x^{3} \\
& =(3+4)+(1+2) x+3 x^{3} \\
& \equiv 2+3 x+3 x^{3}(\bmod 5)
\end{aligned}
$$

(b) $\quad f(x) g(x)=(1+2 x)\left(1+3 x+2 x^{3}\right)$

$$
\begin{aligned}
& =1+5 x+6 x^{2}+2 x^{3}+4 x^{4} \\
& \equiv 1+x^{2}+2 x^{3}+4 x^{4}(\bmod 5)
\end{aligned}
$$

## Exercise 2.1

Let $f(x)=2 x^{3}-3$ and $g(x)=9 x^{4}+7 x^{2}-x+1$. Compute (i) $f(x)+g(x)$ and (ii) $f(x) \cdot g(x)$ in the following polynomial rings:
(a) $\mathbb{Q}[x]$
(b) $\mathbb{Z}_{7}[x]$
(c) $\mathbb{Z}_{2}[x]$.

### 2.2 The degree of a polynomial

## Definition 2.4 Degree of a polynomial

Let $F$ be a field, and let $f(x)=a_{0}+a_{1} x+\cdots+a_{n} x^{n}$ be a polynomial in $F[x]$. The degree of $f(x), \operatorname{deg}(f)$, is the largest $k \geq 0$ for which $a_{k} \neq 0$.
This non-zero coefficient $a_{k}$ is known as the leading coefficient.

Note that it is sometimes easier when working in $\mathbb{Z}_{p}[x]$ to calculate the polynomials over $\mathbb{Z}$, and then reduce the coefficients modulo $p$ at the end.

## Rings and polynomials

At this point, it is also worth making a remark about notation: strictly speaking, we should have written $\operatorname{deg}(f(x))$ rather than $\operatorname{deg}(f)$, but this is rather clumsy. For the same reason, in future we will occasionally drop the ' $(x)$ ' from other equations involving polynomials, where the meaning is still sufficiently clear.

## Example 2.5 The degree of a polynomial

In Exercise 2.1, the polynomial $f(x)=2 x^{3}-3$ has degree 3 in $\mathbb{Q}[x]$ and $\mathbb{Z}_{7}[x]$, but $f(x) \equiv 1(\bmod 2)$ and so $f(x)$ has degree 0 in $\mathbb{Z}_{2}[x]$.

On the other hand, the polynomial $g(x)=9 x^{4}+7 x^{2}-x+1$ has degree 4 in all of $\mathbb{Q}[x], \mathbb{Z}_{7}[x]$ and $\mathbb{Z}_{2}[x]$.

## Convention: degree of the zero polynomial

By convention, the degree of the polynomial $0_{x}$ will be $-\infty$, which should be interpreted as meaning we can choose the degree of $0_{x}$ to be as largely negative as we need.

This choice is made so that the following lemma is consistent when one or both of the polynomials $f$ and $g$ are equal to $0_{x}$.

## Lemma 2.6

Let $F$ be a field, and let $f(x)$ and $g(x)$ be polynomials in $F[x]$. Then $\operatorname{deg}(f g)=\operatorname{deg}(f)+\operatorname{deg}(g)$.

This seemingly innocuous lemma actually turns out to be quite important, as we will see later in this chapter and the next. Before we prove it, let us pause to think what would happen if we replaced the field $F$ with some arbitrary ring $R$. We consider an example in the following exercise.

## Exercise 2.2

Consider the polynomials $f(x)=2 x^{2}+x+3$ and $g(x)=3 x+1$ in the polynomial ring $\mathbb{Z}_{6}[x]$. Find:
(a) $\operatorname{deg}(f)$
(b) $\operatorname{deg}(g)$
(c) $\operatorname{deg}(f g)$.

What went wrong? We expected the degree of $f(x) g(x)$ to be 3, but the coefficient of $x^{3}$ disappeared because $2 \cdot 3 \equiv 0(\bmod 6)$. This, of course, is because 2 and 3 are zero divisors in $\mathbb{Z}_{6}$, and we know from Lemma 1.17 that fields cannot have zero divisors.

Proof of Lemma 2.6 First, if either $f(x)$ or $g(x)$ is equal to $0_{x}$, then $f g=0_{x}$ and $\operatorname{deg}(f g)=-\infty$ as we should expect. So we now suppose that $f(x) \neq 0_{x}$ and $g(x) \neq 0_{x}$.
Let $f(x)=a_{0}+a_{1} x+\cdots+a_{n} x^{n}$ and $g(x)=b_{0}+b_{1} x+\cdots+b_{m} x^{m}$ be polynomials over $F$, where $\operatorname{deg}(f)=n$ and $\operatorname{deg}(g)=m$. In particular, this means that $a_{n} \neq 0$ and $b_{m} \neq 0$. Now, from the definition of multiplication in $F[x]$,

$$
f(x) g(x)=\sum_{k=0}^{m+n}\left(\sum_{i+j=k} a_{i} \cdot b_{j}\right) x^{k} .
$$

The highest term in this sum is $a_{n} b_{m} x^{m+n}$, and so we certainly have $\operatorname{deg}(f g) \leq \operatorname{deg}(f)+\operatorname{deg}(g)$. Moreover, since $a_{n}, b_{m} \in F$ are both not equal to zero, it follows by Lemma 1.17 that their product $a_{n} b_{m}$ is also not 0 . Thus $\operatorname{deg}(f g)=m+n=\operatorname{deg}(f)+\operatorname{deg}(g)$.

Meanwhile, what happens to the degree if we add two polynomials? Clearly, from the definition, $\operatorname{deg}(f+g) \leq \max (\operatorname{deg}(f), \operatorname{deg}(g))$, but can we replace the ' $\leq$ ' with ' $=$ '? Again, let us first consider a couple of examples.

## Exercise 2.3

Calculate the degree of $f(x)+g(x)$ over the stated ring:
(a) $f(x)=2 x^{3}+4 x^{2}-1$ and $g(x)=3 x^{3}+3 x^{2}-2 x$ over $\mathbb{Z}_{5}$
(b) $f(x)=1+x$ and $g(x)=1-x$ over $\mathbb{Q}$.

In the above exercise, you should have found that it is indeed possible for the degree of the sum of two polynomials to be less than the sum of the degrees. In each case, the top term of $f+g$ disappeared because $\operatorname{deg}(f)=\operatorname{deg}(g)$, and the leading coefficients of $f$ and $g$ were additive inverses of each other.

## Lemma 2.7

Let $F$ be a field, and let $f(x)$ and $g(x)$ be polynomials in $F[x]$. Then $\operatorname{deg}(f+g) \leq \max (\operatorname{deg}(f), \operatorname{deg}(g))$.

Proof This follows directly from the definition of addition for polynomials in $F[x]$.

### 2.3 Basic properties of polynomial rings over fields

Now we have defined and developed the concept of the degree of a polynomial, we are in a position to prove some results to reassure us that polynomials over fields behave in the way that we expect them to.

## Rings and polynomials

First, the property that the field $F$ has no zero divisors can be shown to imply that there are also no zero divisors in $F[x]$.

## Lemma 2.8

Let $F$ be a field, and let $f(x), g(x) \in F[x]$ be polynomials such that $f(x) g(x)=0_{x}$. Then either $f(x)=0_{x}$, or $g(x)=0_{x}$ (or both).

Proof Since by Lemma 2.6 we have $-\infty=\operatorname{deg}\left(0_{x}\right)=\operatorname{deg}(f g)$ $=\operatorname{deg}(f)+\operatorname{deg}(g)$, it follows that at least one of $f(x)$ or $g(x)$ must have degree $-\infty$, that is, $f(x)=0_{x}$ or $g(x)=0_{x}$.

We might now wonder whether in fact $F[x]$ is a field: is every element a unit? This turns out not to be the case.

## Lemma 2.9

An element of a polynomial ring $F[x]$ over a field $F$ has a multiplicative inverse (that is, the element is a unit) if, and only if, it has degree 0 .

Proof First, a polynomial $f(x)$ of degree 0 is simply a non-zero element of the field $F: f(x)=a_{0}$ with $a_{0} \in F$. Since $F$ is a field, there exists $a_{0}^{-1} \in F$ such that $a_{0} \cdot a_{0}^{-1}=1$. Therefore, the inverse of $f(x)$ in $F[x]$ is $f^{-1}(x)=a_{0}^{-1}$.

Conversely, for a polynomial $f(x) \in F[x]$, suppose that $g(x) \in F[x]$ is the multiplicative inverse. Then $f(x) g(x)=1_{x}$, and so by Lemma 2.6 $\operatorname{deg}(f)+\operatorname{deg}(g)=\operatorname{deg}\left(1_{x}\right)=0$. By definition, the degree of a polynomial is either $-\infty$ or an integer value greater than or equal to 0 . So the only possible situation in which $\operatorname{deg}(f)+\operatorname{deg}(g)=0$ arises is when $f$ has degree 0 .

Since every unit in a polynomial ring $F[x]$ is actually in $F$, we will typically refer to $u \in F[x]$ rather than $u(x) \in F[x]$ from now on.

Lemma 2.9 implies that $F[x]$ is not itself a field, since we cannot find inverses for anything with degree $\geq 1$. However, despite this lack of inverses in $F[x]$, we can still cancel terms from equations.

## Lemma 2.10 Cancellation of polynomials

If $f(x)$ is a non-zero polynomial and $f(x) g(x)=f(x) h(x)$ in $F[x]$, then $g(x)=h(x)$.

## 3 Divisibility of polynomials

Proof We can rearrange the equation $f g=f h$ to obtain $f g-f h=0$, which by distributivity gives us $f(g-h)=0$. Now $g-h$ is a polynomial over $F$, so by Lemma 2.8 either $f(x)=0_{x}$ (which by assumption is not true), or $g(x)-h(x)=0_{x}$. Therefore, we must have $g(x)=h(x)$.

We finish this section with a couple of definitions that we will need later in this chapter.

## Definition 2.11 Associate and monic

Let $F$ be a field, and $f(x), g(x) \in F[x]$.
(a) We say that $f(x)$ is an associate of $g(x)$ if $f(x)=u g(x)$ where $u$ is a unit in $F[x]$.
(b) The polynomial $f(x)$ is monic if the leading coefficient is equal to 1 .

## Exercise 2.4

Let $F$ be a field, and $f(x)$ a non-zero polynomial in $F[x]$. Prove the following.
(a) If $g(x) \in F[x]$ is an associate of $f(x)$, then $\operatorname{deg}(g)=\operatorname{deg}(f)$.
(b) There exists a unique monic polynomial that is an associate of $f(x)$.

## 3 Divisibility of polynomials

This section seeks to develop some results concerning the divisibility of polynomials that mirror results in Book A, Chapter 1 for the integers.

### 3.1 Polynomial division

You may already have had practice at dividing one polynomial over the real numbers by another and calculating the remainder. Here, we want to extend this 'long division' to polynomials over an arbitrary field $F$. Before we do this, however, we should justify that what we are doing is valid, and can be done in a unique way: what we need is a division algorithm for polynomials, to mirror Theorem 4.1 in Book A, Chapter 1. In fact, as we proceed through this section you may like periodically to glance back at Section 4 of Chapter 1, and observe the similarities.

## Rings and polynomials

There are two parts to this proof. First, we prove the existence of a suitable $q$ and $r$ using induction, and then we prove that they are unique.

Remember $F$ is a field, and so we can find $b_{m}^{-1}$ such that $b_{m} b_{m}^{-1}=1$.

We have expressly constructed $f_{1}$ so that $\operatorname{deg}\left(f_{1}\right)<\operatorname{deg}(f)$.

## Theorem 3.1 Division Algorithm for polynomials

Let $F$ be a field and let $f$ and $g$ be polynomials in $F[x]$, with $g \neq 0_{x}$. Then there exist unique polynomials $q$ and $r$ in $F[x]$ such that
(a) $\operatorname{deg}(r)<\operatorname{deg}(g)$, and
(b) $f=q g+r$.

The polynomials $q$ and $r$ are respectively known as the quotient and remainder when dividing $f$ by $g$.

Proof If $f=0_{x}$ or $\operatorname{deg}(f)<\operatorname{deg}(g)$, then we can simply take $q=0_{x}$ and $r=f(x)$. Thus from now on we will assume $\operatorname{deg}(f) \geq \operatorname{deg}(g)$. Let
$f(x)=a_{0}+a_{1} x+\cdots+a_{n} x^{n}$ and $g(x)=b_{0}+b_{1} x+\cdots+b_{m} x^{m}$, with $a_{n} \neq 0$ and $b_{m} \neq 0$ so that $\operatorname{deg}(f)=n$ and $\operatorname{deg}(g)=m$. We use induction on $d=n-m \geq 0$ to prove the existence of a suitable $q(x)$ and $r(x)$.
The base case is $d=0$, when $m=n$. In this case, we can take $q=a_{n} b_{m}^{-1}$, and $r=f-q g$. Note that $\operatorname{deg}(r)<\operatorname{deg}(g)$ because the coefficient of $x^{m}$ in $r$ disappears: $a_{m}-a_{m} b_{m}^{-1} b_{m}=0$.
For the inductive step, suppose that the statement is true for $d=0,1, \ldots, k-1$. Now consider $d=k$. Define $f_{1}=f-a_{n} b_{m}^{-1} x^{k} g$. There are three cases to consider: $f_{1}=0_{x}, \operatorname{deg}\left(f_{1}\right)<\operatorname{deg}(g)$ and
$\operatorname{deg}\left(f_{1}\right) \geq \operatorname{deg}(g)$. If $f_{1}=0_{x}$, then we take $q=a_{n} b_{m}^{-1} x^{n-m}$ and $r=0_{x}$. If $\operatorname{deg}\left(f_{1}\right)<\operatorname{deg}(g)$, then we take $q=a_{n} b_{m}^{-1} x^{n-m}$ and $r=f_{1}$. Thus we now suppose $\operatorname{deg}\left(f_{1}\right) \geq \operatorname{deg}(g)$. By induction, we can find $q_{1}(x), r_{1}(x)$ such that $f_{1}=q_{1} g+r_{1}$, with $\operatorname{deg}\left(r_{1}\right)<\operatorname{deg}(g)$. We now substitute back:

$$
\begin{aligned}
f & =a_{n} b_{m}^{-1} x^{n-m} g+f_{1} \\
& =a_{n} b_{m}^{-1} x^{n-m} g+\left(q_{1} g+r_{1}\right) \\
& =\left(a_{n} b_{m}^{-1} x^{n-m}+q_{1}\right) g+r_{1}
\end{aligned}
$$

and so we take $q=a_{n} b_{m}^{-1} x^{n-m}+q_{1}$ and $r=r_{1}$.
For uniqueness of $q$ and $r$, suppose that we can find another pair of polynomials $q^{*}, r^{*}$ with $f=q^{*} g+r^{*}$. Then we have $q g+r=q^{*} g+r^{*}$, which can be rearranged to give $\left(r-r^{*}\right)=\left(q^{*}-q\right) g$. Applying Lemma 2.6, we get $\operatorname{deg}\left(r-r^{*}\right)=\operatorname{deg}\left(q^{*}-q\right)+\operatorname{deg}(g)$, but since $\operatorname{deg}(g)>\operatorname{deg}\left(r-r^{*}\right)$ this is a contradiction unless $r=r^{*}$ and $q^{*}=q$.

Actually finding $q$ and $r$, when working in a polynomial ring, requires long division of polynomials. We will work through the steps of one example, in case you are not familiar with the process or need reminding.

## Worked Exercise 3.2

Find the quotient and remainder on dividing $f(x)=x^{4}+x^{2}+x-2$ by $g(x)=x^{2}-1$ in $\mathbb{Q}[x]$.

## Solution

We want to find $q(x)$ and $r(x)$ with $f(x)=g(x) q(x)+r(x)$ and the degree of $r(x)$ smaller than that of $g(x)$ (so that $q(x)$ has as high a degree as possible).
First, we write the terms of $f(x)$ and $g(x)$ in descending powers of $x$, leaving a 'gap' for any powers of $x$ that are missing in $f(x)$.

$$
x ^ { 2 } - 1 \longdiv { x ^ { 4 } + x ^ { 2 } + x - 2 }
$$

Next, take the highest term of $f(x)$, which is $x^{4}$, and divide it by the highest term of $g(x)$, which is $x^{2}$. The result is the first term, $x^{2}$, of the quotient $q(x)$, which we write above the line.

$$
\begin{array}{llll}
x^{2}-1 & x^{2} \\
& x^{4}+x-2
\end{array}
$$

Now, multiply $g(x)$ by this first term of $q(x)$, and write the terms below the corresponding terms of $f(x)$. Then subtract these terms from $f(x)$.

We repeat the process with this new polynomial, $2 x^{2}+x-2$, in place of $f(x)$ : take the highest term of the new polynomial, which is $2 x^{2}$, and divide it by the highest term of $g(x), x^{2}$, to get the next term, 2 , of $q(x)$.

$$
\begin{array}{rcccccc} 
& x^{2} & + & 2 \\
x^{2}-1 & x^{4} & + & x^{2} & + & x & - \\
x^{4} & - & x^{2} & & & & \\
\cline { 2 - 7 } & & 2 x^{2} & + & x & - & 2
\end{array}
$$

Then multiply $g(x)$ by this new term of $q(x)$, and subtract from the polynomial at the bottom.

We would now repeat the process, except that the highest term of $g(x)$ is now larger than the highest term of the polynomial we want to divide, and hence what is left is the remainder. This gives $q(x)=x^{2}+2$ and $r(x)=x$.

## Rings and polynomials

## Exercise 3.1

For each of the following polynomials $f(x), g(x)$ in $\mathbb{Q}[x]$, find the quotient $q(x)$ and the remainder $r(x)$ for the division of $f(x)$ by $g(x)$.
(a) $f(x)=x^{3}-2 x^{2}+3 x-1, g(x)=x-1$
(b) $f(x)=2 x^{4}-x+1, g(x)=x^{2}+1$
(c) $f(x)=3 x^{3}-2 x^{2}+1, g(x)=2 x+1$

With a division algorithm in hand, we now state precisely what we mean when we say that one polynomial divides another: the remainder in the above process must equal the zero polynomial $0_{x}$ in $F[x]$.

## Definition 3.3 Factors of a polynomial

Let $F$ be a field, and $f, g$ polynomials in $F[x]$. We say that $g$ divides $f$ (or $g$ is a factor of $f$ ) if there is some polynomial $h \in F[x]$, such that $f=g h$.
If $f$ is non-zero and neither $g$ nor $h$ are units then $g$ and $h$ are proper factors of $f$.

Notice that if $g$ and $h$ are proper factors of $f$ in $F[x]$, then $0<\operatorname{deg}(g)<\operatorname{deg}(f)$ and $0<\operatorname{deg}(h)<\operatorname{deg}(f)$ : if $\operatorname{deg}(g)=0$ then $g \in F$ would be a unit, while if $\operatorname{deg}(g)=\operatorname{deg}(f)$ then Lemma 2.6 of this chapter would imply $\operatorname{deg}(h)=0$, and so $h$ would be a unit.

Once again, the zero polynomial $0_{x}$ behaves slightly differently: every polynomial is a factor of $0_{x}$ since $0_{x}=f(x) \cdot 0_{x}$. The following exercise will enable you to develop properties of polynomial division that are directly analogous to those we proved for the integers in Section 4 of Book A, Chapter 1.

## Exercise 3.2 Properties of division for polynomials

Let $F$ be a field, and let $f, g$ and $h$ be non-zero polynomials in $F[x]$. Prove the following statements.
(a) If $g$ divides $f$ then $g$ divides $f+g h$ for any polynomial $h \in F[x]$.
(b) If $h$ divides $g$ and $g$ divides $f$ then $h$ divides $f$.
(c) If $h$ divides $f$ and $h$ divides $g$ then $h$ divides $a f+b g$ for any polynomials $a, b \in F[x]$.
(d) The polynomials $f$ and $g$ are associates if, and only if, $g$ divides $f$ and $f$ divides $g$.

### 3.2 Highest common factors

We have now laid the foundations we need to enable us to describe the analogue of the Euclidean Algorithm for polynomials, and hence develop the concept of highest common factor. We proceed in exactly the same way as we did for the integers in Book A, Chapter 1.

## Definition 3.4 Highest common factor of two polynomials

Let $F$ be a field, and $f, g$ two polynomials in $F[x]$, not both equal to $0_{x}$. Then the highest common factor of $f$ and $g$, written $\operatorname{hcf}(f, g)$, is a monic polynomial of largest degree satisfying the following:
(a) $\operatorname{hcf}(f, g)$ divides both $f$ and $g$.
(b) Any polynomial $d \in F[x]$ that divides both $f$ and $g$ must also divide $\operatorname{hcf}(f, g)$.

As with the highest common factor of two integers, the hcf of two polynomials is unique, as the next result will show. First, however, we should ask ourselves whether the condition that $\operatorname{hcf}(f, g)$ is monic is strictly necessary. It is straightforward to see that yes, it is necessary to ensure uniqueness; otherwise, any associate of $\operatorname{hcf}(f, g)$ would also satisfy conditions (a) and (b) of Definition 3.4.

## Theorem 3.5

Let $F$ be a field, and $f, g$ two polynomials in $F[x]$, not both equal to $0_{x}$. Then $\operatorname{hcf}(f, g)$ is unique, and there exist polynomials $a, b \in F[x]$ such that

$$
\operatorname{hcf}(f, g)=a f+b g .
$$

Proof We consider the set of polynomials

$$
S=\{a f+b g: a, b \in F[x]\} .
$$

First note that if $h$ is a polynomial in $S$, any associate of $h$ is also in $S$, since these are formed by multiplying $h$ by an element of $F$. By applying the Well-Ordering Principle to the degrees of the polynomials in $S$, we can choose from $S$ a polynomial of smallest degree, $h=a f+b g$. Moreover, since every associate of $h$ is also in the set $S$, we can assume $h$ is monic.

We claim that this monic polynomial $h$ is unique. If there existed in $S$ another monic polynomial $h_{1}=a_{1} f+b_{1} g$ of the same degree as $h$, then

$$
\begin{aligned}
h-h_{1} & =a f+b g-\left(a_{1} f+b_{1} g\right) \\
& =\left(a-a_{1}\right) f+\left(b-b_{1}\right) g
\end{aligned}
$$

is another polynomial from $S$. Since $h$ and $h_{1}$ are both monic, it follows that either $h-h_{1} \neq 0_{x}$ has smaller degree than $h$ (which is impossible), or $h-h_{1}=0_{x}$, so that $h$ and $h_{1}$ are identical.

Compare the proof of this theorem to the proof of Proposition 4.7 in Book A, Chapter 1.

## Rings and polynomials

Some authors instead define $\operatorname{hcf}\left(0_{x}, 0_{x}\right)=0_{x}$.

Part (b) is the polynomial ring equivalent to Euclid's Lemma, Theorem 4.12 of Book A, Chapter 1.

To complete the proof, we need to prove that $h=\operatorname{hcf}(f, g)$. For this, we use the Division Algorithm (Theorem 3.1) to find polynomials $q$ and $r$ such that $f=q h+r$ with $\operatorname{deg}(r)<\operatorname{deg}(h)$. We want to show that $r=0_{x}$. To do this, we demonstrate that $r$ is a member of $S$ :

$$
\begin{aligned}
r & =f-q h \\
& =1 \cdot f-q(a f+b g) \\
& =(1-q a) f+(-q b) g \in S .
\end{aligned}
$$

However, $r$ has strictly smaller degree than $h$, and so we must have $r=0_{x}$. Hence $f=q h$ and so $f$ is a multiple of $h$. A similar argument tells us that $g$ is a multiple of $h$, and hence $h$ is a common factor.

Finally, we have to show that $h$ is the highest of these factors. This follows by Exercise 3.2 (c): for any common factor $d$ of $f$ and $g$, we know that $d$ divides $a f+b g=h$.

Both Definition 3.4 and Theorem 3.5 have the condition that $f$ and $g$ cannot both be equal to the zero polynomial $0_{x}$ of $F[x]$. When working with the integers in Book A, Chapter 1 we simply stated that hcf( 0,0 ) does not exist, and this would seem to make sense here too; thus, we declare that $\operatorname{hcf}\left(0_{x}, 0_{x}\right)$ does not exist.

Following the pattern laid out by Chapter 1, we can now give meaning to the term 'coprime' in the context of polynomials.

## Definition 3.6 Coprime

Let $F$ be a field, and let $f, g$ be polynomials in $F[x]$, not both equal to $0_{x}$. If $\operatorname{hcf}(f, g)=1_{x}$ then we say that $f$ and $g$ are coprime.

As a direct consequence of Theorem 3.5, we therefore have the following result.

## Corollary 3.7

The polynomials $f, g \in F[x]$, not both equal to $0_{x}$, are coprime if, and only if, there exist polynomials $a, b \in F[x]$ such that $a f+b g=1_{x}$.

## Exercise 3.3

Let $F$ be a field, and $f, g$ and $h$ be polynomials in $F[x]$. Prove the following.
(a) If $\operatorname{hcf}(f, g)=1_{x}$ and both $f$ and $g$ divide $h$, then $f g$ divides $h$.
(b) If $f$ divides $g h$ and $\operatorname{hcf}(f, g)=1_{x}$, then $f$ divides $h$.

### 3.3 The Euclidean Algorithm

In the last subsection, we proved the existence and uniqueness of the highest common factor of two polynomials over a field. However, as was the case in Book A, Chapter 1, the proof of this fact was not hugely enlightening on how to find the highest common factor. In this subsection, we will see how to apply the Division Algorithm (Theorem 3.1) to carry out practical calculation of the highest common factor.

## Strategy: Euclidean Algorithm to find the hcf of two polynomials

Given a field $F$, and two polynomials $f, g \in F[x]$, not both equal to $0_{x}$, let $f^{*}=f$ and $g^{*}=g$.

1. Apply the Division Algorithm (Theorem 3.1) to $f^{*}, g^{*}$ to find $q, r \in F[x]$ for which $f^{*}=q g^{*}+r$.
2. If $r=0_{x}$ then stop: $\operatorname{hcf}(f, g)=a^{-1} g^{*}$, where $a \in F$ is the coefficient of the highest power of $x$ in $g^{*}$.
3. Otherwise, $r \neq 0_{x}$. Replace $f^{*}$ by $g^{*}$, and $g^{*}$ by $r$, and go back to step 1.

## Worked Exercise 3.8

In $\mathbb{Q}[x]$, find $\operatorname{hcf}\left(3 x^{4}+2 x^{3}+x^{2}-4 x+1, x^{2}+x+1\right)$.

## Solution

For convenience later, we will set $f(x)=3 x^{4}+2 x^{3}+x^{2}-4 x+1$ and $g(x)=x^{2}+x+1$, so that we are trying to find $\operatorname{hcf}(f, g)$. First, we divide $f$ by $g$ using polynomial long division, to obtain

$$
f(x)=\left(3 x^{2}-x-1\right) g(x)+(-2 x+2) .
$$

The next step of the Euclidean Algorithm requires that we divide $g(x)$ by the remainder, $-2 x+2$. This gives

$$
g(x)=\left(-\frac{1}{2} x-1\right)(-2 x+2)+3 .
$$

As has happened here, when the remainder is a constant (that is, has degree zero) we know that the next step of the algorithm must give us zero remainder, and so the following final step could actually be omitted:

$$
-2 x+2=\left(-\frac{2}{3} x+\frac{2}{3}\right) \cdot 3+0 .
$$

Therefore, $\operatorname{hcf}(f, g)=\frac{1}{3} \cdot 3=1$.

## Rings and polynomials

Once you have found polynomials $a(x)$ and $b(x)$, it is good practice to check they are correct by computing $a f+b g$ directly.

## Exercise 3.4

(a) Use the Euclidean Algorithm to find $\operatorname{hcf}\left(x^{3}+2 x^{2}-x-2, x^{2}-4 x+3\right)$ in $\mathbb{Q}[x]$.
(b) Hence, or otherwise, find polynomials $s, t$ in $\mathbb{Q}[x]$ for which $x-1=s\left(x^{3}+2 x^{2}-x-2\right)+t\left(x^{2}-4 x+3\right)$.

As well as providing a method to find the hcf of two polynomials, the above exercise shows that the Euclidean Algorithm also helps us to find the polynomials $a(x), b(x)$ such that $\operatorname{hcf}(f, g)=a f+b g$ (as was guaranteed by Theorem 3.5). This was a relatively straightforward task in the above exercise, but for more complicated scenarios the strategy is to work backwards through the Euclidean Algorithm (as we did back in Book A, Chapter 1). We demonstrate this in a worked exercise.

## Worked Exercise 3.9

Let $f(x)=3 x^{4}+2 x^{3}+x^{2}-4 x+1$ and $g(x)=x^{2}+x+1$. In $\mathbb{Q}[x]$, find polynomials $a(x)$ and $b(x)$ such that $1=a(x) f(x)+b(x) g(x)$.

## Solution

In Worked Exercise 3.8, we showed that $\operatorname{hcf}(f, g)=1$. Working backwards through the Euclidean Algorithm:

$$
\begin{aligned}
3 & =\left(\frac{1}{2} x+1\right)(-2 x+2)+g(x) \\
& =\left(\frac{1}{2} x+1\right)\left[f(x)-\left(3 x^{2}-x-1\right) g(x)\right]+g(x) \\
& =\left(\frac{1}{2} x+1\right) f(x)-\left(\frac{3}{2} x^{3}+\frac{5}{2} x^{2}-\frac{3}{2} x-2\right) g(x)
\end{aligned}
$$

Therefore, dividing by 3 we obtain $a(x)=\frac{1}{6} x+\frac{1}{3}$ and
$b(x)=-\frac{1}{2} x^{3}-\frac{5}{6} x^{2}+\frac{1}{2} x+\frac{2}{3}$.

## Exercise 3.5

Let $f(x)=2 x^{3}+3 x^{2}+2 x-1$ and $g(x)=x^{2}+x$ be polynomials in $\mathbb{Q}[x]$.
(a) Find the highest common factor of $f$ and $g$.
(b) Find polynomials $a(x)$ and $b(x)$ such that $a f+b g=\operatorname{hcf}(f, g)$.

### 3.4 Least common multiples

Throughout this section, we have been drawing parallels between the integers and polynomials with coefficients from fields. Here, our aim is to convert the results of Subsection 4.3 of Book A, Chapter 1.

## Definition 3.10 Least common multiple of two polynomials

Let $F$ be a field, and $f, g$ two non-zero polynomials in $F[x]$. Then the least common multiple of $f$ and $g, \operatorname{lcm}(f, g)$, is the monic polynomial $\ell \in F[x]$ satisfying the following:
(a) $f$ and $g$ both divide $\ell$.
(b) For any polynomial $h \in F[x]$ that is divisible by both $f$ and $g$, we have $\operatorname{deg}(h) \geq \operatorname{deg}(\ell)$.

First, we should establish whether $\operatorname{lcm}(f, g)$ must always exist. This is indeed the case because for any two non-zero polynomials $f$ and $g$, the product $f g$ and its associates satisfy condition (a) of Definition 3.10, and so there is a monic polynomial that satisfies condition (a). Among the monic polynomials satisfying (a), we need to choose one of smallest degree. Insisting that the least common multiple of two polynomials be monic then guarantees uniqueness, as we are about to show.

## Lemma 3.11

Let $F$ be a field, and $f, g$ two non-zero polynomials in $F[x]$. Then $\ell=\operatorname{lcm}(f, g)$ is unique.

The proof follows the same pattern as the uniqueness part of the proof of Theorem 3.5.

Proof By the remarks before the lemma, it suffices to show that among the monic polynomials satisfying condition (a) of Definition 3.10, there is only one of lowest degree.

For a contradiction, suppose that $\ell_{1}$ and $\ell_{2}$ are two monic polynomials of the same lowest degree $k$ that satisfy condition (a). However, then $\ell_{1}-\ell_{2}$ is a polynomial of degree strictly less than $k$, and by Exercise 3.2(c), since each of $f$ and $g$ divides both $\ell_{1}$ and $\ell_{2}$, each also divides $\ell_{1}-\ell_{2}$. Thus $\ell_{1}-\ell_{2}$ is a polynomial of degree less than $k$ that satisfies condition (a), which is a contradiction.

## Rings and polynomials

Compare the proof of this proposition with the proof of Proposition 4.15 in Book A, Chapter 1: it is almost identical.

Remember that Lemma 2.10 of this chapter tells us that we are allowed to cancel factors from either side of an equation.

Now we have established that lcms are indeed unique, we want a practical way to find them. Of course, if we can factorise the polynomials $f$ and $g$ then it is relatively straightforward to find $\operatorname{lcm}(f, g)$ (and indeed $\operatorname{hcf}(f, g))$, simply by comparing factors as we would do with prime factorisations in the integers.

There are two problems with this approach. First, we haven't yet developed an analogue to 'prime factorisation' for polynomials (we will consider this task in the next section). Second, having managed to describe an analogue to 'prime factorisation', we would then need to find a way of calculating it. This is no easy task for integers, let alone polynomials over arbitrary fields! Instead, we will use the following result.

## Proposition 3.12

Let $f(x), g(x)$ be non-zero monic polynomials with coefficients from a field $F$. Then

$$
\operatorname{lcm}(f, g) \cdot \operatorname{hcf}(f, g)=f \cdot g
$$

Notice that this requires both $f$ and $g$ to be monic, otherwise $f g$ is a scalar multiple of the left-hand side. Given non-monic polynomials $f$ and $g$, we can obtain monic ones with the same lcm and hcf by multiplying each of $f$ and $g$ by the inverse of its leading coefficient.

Proof Let $h(x)=\operatorname{hcf}(f, g)$. Since $h$ divides $f$, it also divides $f g$. Therefore, there exists a polynomial $\ell(x) \in F[x]$ such that $f g=h \ell$. We want to show that $\ell=\operatorname{lcm}(f, g)$.
Since $h$ divides both $f$ and $g$, there exist polynomials $p$ and $q$ in $F[x]$ such that

$$
f=h p \quad \text { and } \quad g=h q
$$

Substituting for $f$ and then $g$ in $f g=h \ell$, and then cancelling out a factor of $h$, we obtain

$$
\ell=g p \quad \text { and } \quad \ell=f q
$$

This shows that $\ell$ is a common multiple of $f$ and $g$, and so all that remains is for us to show it is the least such.

Suppose $\ell_{1}$ is another polynomial in $F[x]$ that is divisible by both $f$ and $g$. There exist polynomials $p_{1}, q_{1} \in F[x]$ such that

$$
\ell_{1}=q_{1} f \quad \text { and } \quad \ell_{1}=p_{1} g
$$

We also know from Theorem 3.5 that there exist polynomials $a$ and $b$ in $F[x]$ such that $h=\operatorname{hcf}(f, g)=a f+b g$.

Multiplying this equation by $\ell_{1}$ gives

$$
\begin{aligned}
\ell_{1} h & =\ell_{1} a f+\ell_{1} b g \\
& =p_{1} g a f+q_{1} f b g \\
& =f g\left(p_{1} a+q_{1} b\right) \\
& =h \ell\left(p_{1} a+q_{1} b\right) .
\end{aligned}
$$

This last line follows since we have already established that $f g=h \ell$. Finally, we can cancel a factor of $h$ from either side to obtain

$$
\ell_{1}=\ell\left(p_{1} a+q_{1} b\right),
$$

which proves that $\ell_{1}$ is divisible by $\ell$, and hence that $\ell(x)=\operatorname{lcm}(f, g)$.

## Worked Exercise 3.13

Find $\operatorname{lcm}\left(x^{3}+x^{2}-x+2, x^{4}+2 x^{3}-x-2\right)$ in $\mathbb{Q}[x]$.

## Solution

First, we compute $\operatorname{hcf}\left(x^{3}+x^{2}-x+2, x^{4}+2 x^{3}-x-2\right)$. The first round of polynomial long division tells us that

$$
x^{4}+2 x^{3}-x-2=(x+1)\left(x^{3}+x^{2}-x+2\right)+(-2 x-4)
$$

The remainder here is $-2 x-4=-2(x+2)$. Dividing $x^{3}+x^{2}-x+2$ by the monic polynomial $x+2$ gives

$$
x^{3}+x^{2}-x+2=\left(x^{2}-x+1\right)(x+2)
$$

so that the hcf is $x+2$.
Now, using Proposition 3.12,

$$
\begin{aligned}
\operatorname{lcm}\left(x^{3}+\right. & \left.x^{2}-x+2, x^{4}+2 x^{3}-x-2\right) \\
& =\frac{\left(x^{3}+x^{2}-x+2\right) \cdot\left(x^{4}+2 x^{3}-x-2\right)}{x+2} \\
& =\frac{x^{3}+x^{2}-x+2}{x+2} \cdot\left(x^{4}+2 x^{3}-x-2\right) \\
& =\left(x^{2}-x+1\right) \cdot\left(x^{4}+2 x^{3}-x-2\right) \\
& =x^{6}+x^{5}-x^{4}+x^{3}-x^{2}+x-2 .
\end{aligned}
$$

## Exercise 3.6

Working in $\mathbb{Q}[x]$, find the lcm of:
(a) $x^{3}-1$ and $x^{4}-x^{3}+x^{2}-1$
(b) $x^{3}+x^{2}-8 x-12$ and $x^{3}+5 x^{2}+8 x+4$.

## Solutions and comments on exercises

## Solution to Exercise 1.1

(a) $(2,1)+(4,5)=(6,6)$ and $(2,1) \cdot(4,5)=(8,5)$
(b) $(0,0)$
(c) $(-2,-1)$
(d) $(1,1)$

## Solution to Exercise 1.2

(a) We check each axiom in turn. R1, R2 and R5 follow by the basic properties of addition modulo $n$. For R3, the additive identity is 0 , since $0+a \equiv a(\bmod n)$ for any $a \in \mathbb{Z}_{n}$, and then writing $a+(-a) \equiv 0(\bmod n)$ proves R4.
The multiplicative axioms follow similarly: R6 and R7 follow directly from the definition of multiplication modulo $n$. For R8, the multiplicative identity is 1 , since $1 \cdot a=a \cdot 1 \equiv a(\bmod n)$.
Finally, R9 follows directly from the distributivity of addition and multiplication of the integers, and R10 (commutativity of multiplication) holds by the properties of multiplication modulo $n$. Hence $\left(\mathbb{Z}_{n},+, \cdot\right)$ is a commutative ring.
(b) For $\mathbb{Z}[\sqrt{2}]$, let $a, b, c, d \in \mathbb{Z}$. We consider each axiom in turn.

For R1, we have

$$
(a+b \sqrt{2})+(c+d \sqrt{2})=(a+c)+(b+d) \sqrt{2}
$$

which is in $\mathbb{Z}(\sqrt{2})$ since $a+c, b+d \in \mathbb{Z}$.
Using the equation in R1 repeatedly together with the associativity of addition of integers, R 2 follows directly. The additive identity is $0=0+0 \sqrt{2}$, which proves R3, and then for R4, the inverse of $a+b \sqrt{2}$ is $(-a)+(-b) \sqrt{2}$. Commutativity follows from the equation in R1 with the commutativity of addition over the real numbers.
For the multiplicative axioms, closure (R6) is not immediately obvious, but it follows from

$$
\begin{aligned}
(a+b \sqrt{2}) \cdot(c+d \sqrt{2}) & =a c+(b c+a d) \sqrt{2}+b d \sqrt{2} \cdot \sqrt{2} \\
& =(a c+2 b d)+(b c+a d) \sqrt{2}
\end{aligned}
$$

R7 and R10 are now immediate since multiplication of real numbers is associative and commutative. For R8, the multiplicative identity is $1=1+0 \sqrt{2}$. Finally, R9 follows, once again, because multiplication is distributive over addition in $\mathbb{R}$.

## Solution to Exercise 1.3

We use part (c) of the proposition twice, followed by part (b):

$$
(-a) \cdot(-b)=-(a \cdot(-b))=-(-(a \cdot b))=a \cdot b
$$

## Rings and polynomials

Note that $\mathbb{Z}[\omega]$ is not a subring of $\mathbb{Z}[i]$.

## Solution to Exercise 1.4

If $1=0$ and $a$ is any element of the ring then, using Proposition 1.5(a),
$a=a \cdot 1=a \cdot 0=0$
and hence $a=0$. Consequently, the ring has only one element, which must be both the additive and the multiplicative identity.

## Solution to Exercise 1.5

(a) We show that $\mathbb{Z}[\omega]$ is a subring of $\mathbb{C}$. Let $a, b, c, d, e, f \in \mathbb{Z}$. For SR1, we have

$$
\left(a+b \omega+c \omega^{2}\right)-\left(d+e \omega+f \omega^{2}\right)=(a-d)+(b-e) \omega+(c-f) \omega^{2}
$$

which is in $\mathbb{Z}[\omega]$ since $(a-d),(b-e)$ and $(c-f) \in \mathbb{Z}$.
For SR2, using $\omega^{3}=1$, we have

$$
\begin{aligned}
& \left(a+b \omega+c \omega^{2}\right) \cdot\left(d+e \omega+f \omega^{2}\right) \\
& \quad=(a d+b f+c e)+(a e+b d+c f) \omega+(a f+b e+c d) \omega^{2} \in \mathbb{Z}[\omega]
\end{aligned}
$$

Finally, the multiplicative identity of $\mathbb{C}$ is 1 , and in $\mathbb{Z}[\omega]$ we have $1=1+0 \omega+0 \omega^{2}$. Hence SR3 holds.
(b) We will show that $\mathbb{Q}[i]$ is a subring of $\mathbb{C}$. For $a, b, c, d$ in $\mathbb{Q}$, we have

SR1: $\quad(a+i b)-(c+i d)=(a-c)+i(b-d) \in \mathbb{Q}[i]$.
SR2: $\quad(a+i b) \cdot(c+i d)=(a c-b d)+i(a d+b c) \in \mathbb{Q}[i]$.
SR3: The multiplicative identity of $\mathbb{C}$ and $\mathbb{Q}[i]$ is $1=1+0 i$.

## Solution to Exercise 1.6

(a) $(3,0) \cdot(0,1)=(0,0)$ gives an example of two zero divisors.
(b) The zero divisors are those elements $(x, y)$ for which one of $x$ and $y$ is 0 .

## Solution to Exercise 1.7

(a) The units are 1, 5, 7 and 11. Each element is its own inverse - for example, $7 \times 7 \equiv 1(\bmod 12)$.
(b) The units are the pairs $(1,1),(1,-1),(-1,1)$ and $(-1,-1)$.
(c) The units are $1,-1, i$ and $-i$.

## Solution to Exercise 1.8

(a) The zero divisors in $\mathbb{Z}_{8}$ are 2,4 and 6 , since $2 \times 4 \equiv 6 \times 4 \equiv 0(\bmod 8)$.

In $\mathbb{Z}_{9}$ the zero divisors are 3 and 6.
In $\mathbb{Z}_{10}$ the zero divisors are $2,4,5,6,8$.
In $\mathbb{Z}_{11}$ there are no zero divisors.
In $\mathbb{Z}_{12}$ the zero divisors are $2,3,4,6,8,9$ and 10 .
(b) The zero divisors in $\mathbb{Z}_{n}$ are those positive integers $a \in \mathbb{Z}_{n}$ that share a

We will use this result in the proof of Lemma 1.18.
(c) $\mathbb{Z}_{n}$ has no zero divisors if, and only if, $n$ is a prime number.
(d) Suppose that $a \in R$ is a unit, and so there exists a multiplicative inverse $a^{-1}$. If there exists $b \in R$ such that $a \cdot b=0$, then

$$
b=1 \cdot b=\left(a^{-1} a\right) b=a^{-1}(a b)=a^{-1} \cdot 0=0
$$

Therefore $a$ cannot be a zero divisor.

## Solution to Exercise 1.9

(a) By definition, $\left(a^{-1}\right)^{-1} \cdot a^{-1}=1$, and therefore we have

$$
\begin{aligned}
\left(a^{-1}\right)^{-1} & =\left(a^{-1}\right)^{-1} \cdot 1 \\
& =\left(a^{-1}\right)^{-1} \cdot\left(a^{-1} \cdot a\right) \\
& =\left(\left(a^{-1}\right)^{-1} \cdot a^{-1}\right) \cdot a \\
& =1 \cdot a=a
\end{aligned}
$$

(b) $\quad b^{-1} a^{-1}=\left(b^{-1} a^{-1}\right) \cdot 1=\left(b^{-1} a^{-1}\right) \cdot\left((a b)(a b)^{-1}\right)$

$$
\begin{aligned}
& =\left(\left(b^{-1} a^{-1}\right)(a b)\right) \cdot(a b)^{-1} \\
& =\left(b^{-1}\left(a^{-1} a\right) b\right) \cdot(a b)^{-1}=\left(b^{-1} b\right) \cdot(a b)^{-1} \\
& =1 \cdot(a b)^{-1}=(a b)^{-1}
\end{aligned}
$$

Alternatively, consider

$$
\begin{aligned}
\left(b^{-1} a^{-1}\right) \cdot(a b) & =b^{-1}\left(a^{-1} a\right) b \\
& =b^{-1} \cdot 1 \cdot b=b^{-1} b \\
& =1
\end{aligned}
$$

which shows that $\left(b^{-1} a^{-1}\right)$ is the inverse of the element $a b$. That is, $(a b)^{-1}=b^{-1} a^{-1}$.

## Solution to Exercise 1.10

(a) From Exercise $1.5(\mathrm{~b})$, we know that $\mathbb{Q}[i]$ is a subring of $\mathbb{C}$. To show that $\mathbb{Q}[i]$ is a subfield of $\mathbb{C}$, it remains to show only that every non-zero element of $\mathbb{Q}[i]$ has a multiplicative inverse, by Lemma 1.21. Let $a, b \in \mathbb{Q}$, not both equal to zero, so that $a+b i \in \mathbb{Q}[i]$ is non-zero.

## Rings and polynomials

If $a^{2}-2 b^{2}$ were 0 with at least one of $a$ and $b$ non-zero then $b$ must be non-zero and so $\frac{a^{2}}{b^{2}}=2$. This gives the contradiction that $\sqrt{2}=\frac{a}{b} \in \mathbb{Q}$.

We want to find $c, d \in \mathbb{Q}$ such that $(a+b i)(c+d i)=1$. We could compare real and imaginary parts, and solve the resulting simultaneous equations, but there is a quicker method. Observe that $(a+b i)(a-b i)=a^{2}+b^{2}$ is a rational number, and therefore

$$
\frac{(a+b i)(a-b i)}{a^{2}+b^{2}}=1
$$

Thus $c=\frac{a}{a^{2}+b^{2}}$ and $d=\frac{-b}{a^{2}+b^{2}}$ are both in $\mathbb{Q}$, and the multiplicative inverse $c+d i \in \mathbb{Q}[i]$ is

$$
\frac{a}{a^{2}+b^{2}}-\frac{b}{a^{2}+b^{2}} i
$$

(b) We will use the fact that $\mathbb{Q}[\sqrt{2}] \subset \mathbb{R}$. First we show, using the subring criterion (Lemma 1.8 ) that $\mathbb{Q}[\sqrt{2}]$ is a subring of $\mathbb{R}$. For $a, b, c, d \in \mathbb{Q}$, we have

$$
\text { SR1: } \quad(a+b \sqrt{2})-(c+d \sqrt{2})=(a-c)+(b-d) \sqrt{2} \in \mathbb{Q}[\sqrt{2}]
$$

$$
\text { SR2: } \quad(a+b \sqrt{2}) \cdot(c+d \sqrt{2})=(a c+2 b d)+(a d+b c) \sqrt{2} \in \mathbb{Q}[\sqrt{2}] .
$$

SR3: The multiplicative identity of $\mathbb{R}$ and $\mathbb{Q}[\sqrt{2}]$ is $1=1+0 \sqrt{2}$.
Finally, we find the multiplicative inverses of elements in $\mathbb{Q}[\sqrt{2}]$. Let $a, b \in \mathbb{Q}$, with at least one of $a$ or $b$ non-zero, and consider $a+b \sqrt{2} \in \mathbb{Q}[\sqrt{2}]$. We wish to find $c, d \in \mathbb{Q}$ such that $(a+b \sqrt{2})(c+d \sqrt{2})=1$.

Observe that $(a+b \sqrt{2})(a-b \sqrt{2})=a^{2}-2 b^{2}$ is in $\mathbb{Q}$, and is non-zero since $\sqrt{2}$ is irrational. Thus,

$$
\frac{(a+b \sqrt{2})(a-b \sqrt{2})}{a^{2}-2 b^{2}}=1
$$

Therefore, we may take

$$
c=\frac{a}{a^{2}-2 b^{2}}, \quad d=\frac{-b}{a^{2}-2 b^{2}}
$$

noting that this choice satisfies $c, d \in \mathbb{Q}$, and therefore $c+d \sqrt{2} \in \mathbb{Q}[\sqrt{2}]$. Hence, the inverse of $a+b \sqrt{2}$ is

$$
\frac{a}{a^{2}-2 b^{2}}+\frac{b}{2 b^{2}-a^{2}} \sqrt{2}
$$

## Solution to Exercise 2.1

(a) Working in $\mathbb{Q}[x]$ :
(i) $f(x)+g(x)=9 x^{4}+2 x^{3}+7 x^{2}-x-2$
(ii) $f(x) g(x)=18 x^{7}+14 x^{5}-29 x^{4}+2 x^{3}-21 x^{2}+3 x-3$.
(b) Working in $\mathbb{Z}_{7}[x]$, we can reduce the answer to part (a) modulo 7:
(i) $f(x)+g(x) \equiv 2 x^{4}+2 x^{3}+6 x+5(\bmod 7)$
(ii) $f(x) g(x) \equiv 4 x^{7}+6 x^{4}+2 x^{3}+3 x+4(\bmod 7)$.
(c) Working in $\mathbb{Z}_{2}[x]$, we can reduce the answer to part (a) modulo 2 :
(i) $f(x)+g(x) \equiv x^{4}+x^{2}+x(\bmod 2)$
(ii) $f(x) g(x) \equiv x^{4}+x^{2}+x+1(\bmod 2)$.

## Solution to Exercise 2.2

(a) $\operatorname{deg}(f)=2$
(b) $\operatorname{deg}(g)=1$
(c) $f(x) g(x)=\left(2 x^{2}+x+3\right)(3 x+1)$

$$
\begin{aligned}
& =2 \cdot 3 x^{3}+(2+3) x^{2}+(3 \cdot 3+1) x+3 \\
& \equiv 5 x^{2}+4 x+3(\bmod 6) .
\end{aligned}
$$

From this, we see that $\operatorname{deg}(f g)=2$. Note that this is less than $\operatorname{deg}(f)+\operatorname{deg}(g)=3$.

## Solution to Exercise 2.3

(a) $f(x)+g(x)=\left(2 x^{3}+4 x^{2}-1\right)+\left(3 x^{3}+3 x^{2}-2 x\right)=$ $5 x^{3}+7 x^{2}-2 x-1 \equiv 2 x^{2}-2 x-1(\bmod 5)$. Therefore $\operatorname{deg}(f+g)=2$.
(b) $f(x)+g(x)=(1+x)+(1-x)=2$, and therefore $\operatorname{deg}(f+g)=0$.

## Solution to Exercise 2.4

(a) Since $g(x)$ is an associate of $f(x)$, there exists a unit $u \in F[x]$ such that $g(x)=u f(x)$. By Lemma 2.6, $\operatorname{deg}(g)=\operatorname{deg}(u f)$ $=\operatorname{deg}(u)+\operatorname{deg}(f)$, and since $\operatorname{deg}(u)=0$ by Lemma 2.9, we have $\operatorname{deg}(f)=\operatorname{deg}(g)$.
(b) Let $f(x)$ be a polynomial of degree $\operatorname{deg}(f)=n$ over $F$ with leading coefficient $a_{n} \neq 0$. Since $a_{n} \in F$, there exists a multiplicative inverse $a_{n}^{-1} \in F$ of $a_{n}$, and so the polynomial $a_{n}^{-1} f(x)$ is an associate of $f(x)$, and has leading coefficient equal to 1 . That is, the polynomial $a_{n}^{-1} f(x)$ is monic.
Moreover, it is the unique monic associate: $a_{n}^{-1}$ is the unique inverse of $a_{n}$ in $F$, and so any other associate of $f(x)$ cannot be monic.

## Rings and polynomials

## Solution to Exercise 3.1

(a)

Thus $q(x)=x^{2}-x+2$ and $r(x)=1$.
(b)

Thus $q(x)=2 x^{2}-2$ and $r(x)=-x+3$.
(c)


Thus $q(x)=\frac{3}{2} x^{2}-\frac{7}{4} x+\frac{7}{8}$ and $r(x)=\frac{1}{8}$.

## Solution to Exercise 3.2

(a) Since $g$ divides $f$, there exists a polynomial $a \in F[x]$ such that $f=a g$. Now, using the distributivity of addition and multiplication in $F[x]$, we have

$$
f+g h=a g+g h=g(a+h)
$$

which shows that $g$ is a factor of $f+g h$.
(b) Since $g$ divides $f$, we can write $f=a g$ for some $a \in F[x]$. Similarly, since $h$ divides $g$, there exists $b \in F[x]$ such that $g=b h$. Now, by associativity of multiplication in $F[x]$,

$$
f=a g=a(b h)=(a b) h
$$

which shows that $f$ is divisible by $h$.
(c) Since $h$ divides $f$ and $g$, we can write $f=c h$ and $g=d h$ for some $c, d \in F[x]$. Now, using associativity of multiplication and the distributive laws, we see that $h$ divides $a f+b g$ :

$$
a f+b g=a(c h)+b(d h)=(a c) h+(b d) h=(a c+b d) h .
$$

(d) If $f$ and $g$ are associates then there exists $a \in F$ such that $g(x)=a f(x)$, which immediately shows that $g$ is divisible by $f$.
Moreover, we can write $a^{-1} g(x)=f(x)$, which shows that $f$ is divisible by $g$.
For the other direction, suppose $g$ divides $f$ and $f$ divides $g$. Then there exist polynomials $a, b \in F[x]$ such that $g=a f$ and $f=b g$. We will have finished if we can show that $a$ and $b$ are inverses, since this would imply $a \in F$, so that $g$ is an associate of $f$. We write

$$
f=b g=b(a f)=(b a) f
$$

Then by Lemma 2.10 we have $1=1_{x}=b a$, and therefore $a=b^{-1}$ as required.

## Solution to Exercise 3.3

(a) Since $f$ and $g$ are coprime, there exist $a, b \in F[x]$ such that
$1_{x}=a f+b g$. Multiplying this through by $h$ gives

$$
h=a f h+b g h .
$$

Next, as $f$ divides $h$ there exists $c \in F[x]$ such that $h=c f$. Similarly there exists $d \in F[x]$ such that $h=d g$, and we substitute these in to the right-hand side to get

$$
h=a f(d g)+b g(c f)=(a d+b c) f g,
$$

which shows that $f g$ divides $h$.
(b) Since $\operatorname{hcf}(f, g)=1_{x}$, there exist $a, b \in F[x]$ such that $1_{x}=a f+b g$.

We multiply this through by $h$ to get

$$
h=a f h+b g h .
$$

Next, since $f$ divides $g h$, there exists $c \in F[x]$ such that $g h=c f$.
Substituting this into the above equation gives

$$
h=a f h+b(c f)=(a h+b c) f,
$$

which shows that $f$ divides $h$.

## Solution to Exercise 3.4

(a) Using polynomial long division, we apply the Euclidean Algorithm:

$$
\begin{aligned}
\left(x^{3}+2 x^{2}-x-2\right) & =(x+6)\left(x^{2}-4 x+3\right)+(20 x-20) \\
\left(x^{2}-4 x+3\right) & =\frac{1}{20}(x-3)(20 x-20)+0
\end{aligned}
$$

Hence in $\mathbb{Q}[x]$,
$\operatorname{hcf}\left(x^{3}+2 x^{2}-x-2, x^{2}-4 x+3\right)=\frac{1}{20}(20 x-20)=x-1$.
(b) From the above calculation, $x-1=\frac{1}{20}\left(\left(x^{3}+2 x^{2}-x-2\right)-(x+6)\left(x^{2}-4 x+3\right)\right)$ and so $x-1=\frac{1}{20}\left(x^{3}+2 x^{2}-x-2\right)-\frac{1}{20}(x+6)\left(x^{2}-4 x+3\right)$. That is, $s=\frac{1}{20}$ and $t=-\frac{1}{20}(x+6)$.

## Solution to Exercise 3.5

(a) First, we divide $f(x)=2 x^{3}+3 x^{2}+2 x-1$ by $g(x)=x^{2}+x$ using polynomial long division:

$$
f(x)=(2 x+1) g(x)+(x-1)
$$

Next, we divide $g(x)$ by the remainder, $x-1$ :

$$
g(x)=(x+2)(x-1)+2
$$

This tells us that $\operatorname{hcf}(f, g)=\frac{1}{2} \cdot 2=1$.
(b) Working backwards through the Euclidean Algorithm,

$$
\begin{aligned}
2 & =g(x)-(x+2)(x-1) \\
& =g(x)-(x+2)[f(x)-(2 x+1) g(x)] \\
& =-(x+2) f(x)+\left(2 x^{2}+5 x+3\right) g(x) .
\end{aligned}
$$

Dividing by 2 , this gives $a(x)=-\frac{1}{2} x-1$, and $b(x)=x^{2}+\frac{5}{2} x+\frac{3}{2}$.

## Solution to Exercise 3.6

(a) First, polynomial long division gives

$$
x^{4}-x^{3}+x^{2}-1=(x-1)\left(x^{3}-1\right)+\left(x^{2}+x-2\right) .
$$

Dividing $x^{3}-1$ by the remainder gives

$$
x^{3}-1=(x-1)\left(x^{2}+x-2\right)+(3 x-3) .
$$

The remainder here is $3 x-3=3(x-1)$ and we can see by inspection that $x^{2}+x-2=(x-1)(x+2)$, which allows us to conclude that the hcf is $x-1$. Therefore, by Proposition 3.12,

$$
\begin{aligned}
\operatorname{lcm}\left(x^{3}-1, x^{4}-x^{3}+x^{2}-1\right) & =\frac{\left(x^{3}-1\right) \cdot\left(x^{4}-x^{3}+x^{2}-1\right)}{x-1} \\
& =\left(x^{2}+x+1\right) \cdot\left(x^{4}-x^{3}+x^{2}-1\right) \\
& =x^{6}+x^{4}-x-1
\end{aligned}
$$

(b) Dividing one by the other gives

$$
x^{3}+5 x^{2}+8 x+4=1 \cdot\left(x^{3}+x^{2}-8 x-12\right)+\left(4 x^{2}+16 x+16\right) .
$$

The remainder is $4 x^{2}+16 x+16=4\left(x^{2}+4 x+4\right)$, and so we can now divide $x^{3}+x^{2}-8 x-12$ by $x^{2}+4 x+4$ to get

$$
x^{3}+x^{2}-8 x-12=(x-3)\left(x^{2}+4 x+4\right) .
$$

Therefore $\operatorname{hcf}\left(x^{3}+x^{2}-8 x-12, x^{3}+5 x^{2}+8 x+4\right)=x^{2}+4 x+4$.
Finally, using Proposition 3.12,

$$
\begin{aligned}
\operatorname{lcm}\left(x^{3}+\right. & \left.x^{2}-8 x-12, x^{3}+5 x^{2}+8 x+4\right) \\
& =\frac{\left(x^{3}+x^{2}-8 x-12\right) \cdot\left(x^{3}+5 x^{2}+8 x+4\right)}{x^{2}+4 x+4} \\
& =(x-3) \cdot\left(x^{3}+5 x^{2}+8 x+4\right) \\
& =x^{4}+2 x^{3}-7 x^{2}-20 x-12 .
\end{aligned}
$$

Alternatively, note that $x^{3}+x^{2}-8 x-12=(x-3)(x+2)^{2}$ and $x^{3}+5 x^{2}+8 x+4=(x+1)(x+2)^{2}$, and so

$$
\operatorname{lcm}\left(x^{3}+x^{2}-8 x-12, x^{3}+5 x^{2}+8 x+4\right)=(x-3)(x+1)(x+2)^{2},
$$

which when expanded gives the same result.

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